

APPENDIX A

APPLICATION AND QUALIFICATION QUESTIONNAIRE
(Quarry License)

Write answers in the spaces provided. Attach additional sheets as necessary, clearly indicating the applicable section number.

IMPORTANT NOTE: If Applicant answers "Yes" to either Question 9 and/or 10, the Applicant is required to complete only Questions 1-10 and Part V.

Part 1: General Information

1. Applicant's legal name: _____
Applicant's full mailing address: _____

2. Name of contact person: _____
Phone number: _____ Fax: _____
Email: _____

3. Applicant intends to bid on the following parcels:
Item: _____ Location: _____
Intended use: _____
Item: _____ Location: _____
Intended use: _____
Item: _____ Location: _____
Intended use: _____

4. Applicant intends to hold title to the license as:
Type of owner (check one):
 Individual
 Husband and Wife
 Sole Proprietorship
 Joint Venture
 Partnership
 Corporation
 Limited Liability Company
Tenancy (check one, if applicable):
 Tenant in Severalty
 Joint Tenants
 Tenants in Common
 Tenants by Entirety

5. If Applicant is a partnership or joint venture, answer the following:
A. Name, address, & percentage share owned by each partner or joint venture member:
Name Address Share

B. Date of Organization: _____
C. General or Limited Partnership (if applicable): _____
D. Recorded: _____
County State

E. Currently registered in Hawaii: Yes/No If Yes, as of: _____

6. If Applicant is a corporation, answer the following:

A. When incorporated: _____

B. In what state or country: _____

C. Authorized to do business in Hawaii: Yes/No If Yes, as of: _____

D. Provide the name, address and shares of stock for each **officer** of the corporation:

President: Name _____

Address _____

Number of stock shares _____ Percentage of shares _____

Vice-President: Name _____

Address _____

Number of stock shares _____ Percentage of shares _____

Secretary: Name _____

Address _____

Number of stock shares _____ Percentage of shares _____

Treasurer: Name _____

Address _____

Number of stock shares _____ Percentage of shares _____

Other: Name: _____ Title: _____

Address _____

Number of stock shares _____ Percentage of shares _____

E. Provide the name, address and shares of stock for each **member** of the corporation's **Board of Directors**:

Name _____

Address _____

Number of stock shares _____ Percentage of shares _____

Name _____

Address _____

Number of stock shares _____ Percentage of shares _____

Name _____

Address _____

Number of stock shares _____ Percentage of shares _____

F. Provide the name, address and shares of stock for each **principal stockholder** who is not named in 6.D. and 6.E. above. (Principal stockholder means one holding 10% or more of the outstanding stock of the corporation.)

Name _____

Address _____

Number of stock shares _____ Percentage of shares _____

12. Provide Contractor "A" and /or "C" Registration No.

Part III: Financial Capacity

13. All Applicants must provide written verification of financing source(s) as follows (failure to provide sufficient information to verify financial resources may result in disqualification to bid):

- a. If Applicant intends to obtain a loan, attach a preliminary loan commitment letter from a recognized lending institution. To be considered, the letter **must** specify approved loan amount and what loan proceeds will be used for.
- b. If Applicant is unable to or does not intend to secure a loan and Applicant is an individual, husband and wife, sole proprietorship or partnership, attach Personal Financial Statement (Appendix C) for each individual and federal income tax returns for the most recent two (2) years.
- c. If Applicant is unable to or does not intend to secure a loan and Applicant is a corporation or joint venture, attach corporate financial statements (current balance sheet and income/expense statements) and federal income tax returns for the most recent two (2) years.

For questions 14-20: 1) if applying as an individual, husband and wife, sole proprietorship or partnership, "you" refers to all individuals involved, including all partners, and 2) if applying as a corporation, joint venture or limited liability company, "you" refers to the entity itself and any director, officer, or major stockholder.

14. Are there any outstanding judgments against you? If yes, explain: Yes/No

15. Have you filed bankruptcy within the past seven years? Yes/No

16. Have you had property foreclosed upon or given title or deed in lieu thereof in the last seven years? Yes/No

17. Are you a party in any legal action? If yes, explain: Yes/No

18. Have you directly or indirectly been obligated on any loan which resulted in foreclosure, transfer of title in lieu of foreclosure, or judgment? If yes, provide details, including date, name and address of lender and reasons for the action: Yes/No

19. Are you presently delinquent or in default on any Federal, State or county rent, debt or any other loan, mortgage, financial obligation, bond, or loan guarantee? If yes, explain: Yes/No

Part IV: Criminal Convictions

20. Have you ever been convicted of a violation of law? If yes, explain : Yes/No

Part V: Notarized Certification

I/We hereby certify that the statements and information contained in this Application and Questionnaire, including all attachments, are true and accurate to the best of my/our knowledge and understand that if any statements are shown to be false or misrepresented, I/we may be disqualified from bidding or my/our license may be cancelled. The undersigned Applicant also authorizes the State of Hawaii Department of Land and Natural Resources and any of its employees or representatives to conduct investigations regarding any of the information provided by this Applicant and contact any of the references named herein.

Applicant Name

Applicant Name

By: _____

By: _____

Its: _____

Its: _____

Date: _____

Subscribed and sworn to before me this
_____ day of _____, 20 ____.

Notary Public

County of: _____

State of: _____

My commission expires: _____