State of Hawai'i DEPARTMENT OF LAND AND NATURAL RESOURCES Papahānaumokuākea Marine National Monument Honolulu, Hawai'i 96813

January 27, 2017

Board of Land and Natural Resources Honolulu, Hawai'i

Request for Authorization and Approval to Issue a Papahānaumokuākea Marine National

Monument Conservation and Management Permit to Commanding Officer Elizabeth I. Kretovic,

National Oceanic and Atmospheric Administration (NOAA) Ship HI'IALAKAI, for Access to

State Waters to Conduct Shipboard Support Activities

The Papahānaumokuākea Marine National Monument program hereby submits a request for your authorization and approval for issuance of a Papahānaumokuākea Marine National Monument conservation and management permit to Elizabeth I. Kretovic, Commanding Officer, NOAA Ship HI'IALAKAI, pursuant to § 187A-6, Hawai'i Revised Statutes (HRS), Chapter 13-60.5, Hawai'i Administrative Rules (HAR), and all other applicable laws and regulations.

The conservation and management permit, as described below, would allow entry and management activities to occur in Papahānaumokuākea Marine National Monument (Monument), including the NWHI State Marine Refuge and the waters (0-3 nautical miles) surrounding the following sites:

- Nīhoa Island
- Mokumanamana Island
- French Frigate Shoals
- Gardner Pinnacles
- Maro Reef
- Laysan Island
- Lisianski Island, Neva Shoal
- Pearl and Hermes Atoll
- Kure Atoll

The activities covered under this permit would occur between January 27, 2017 and December 31, 2017.

The proposed activities are a renewal of work previously permitted and conducted in the Monument.

INTENDED ACTIVITIES

The primary purpose of the project is to provide vessel support for separately permitted activities aboard the NOAA Ship HI'ILAKAI. Up to 28 crew members aboard the HI'ILAKAI would be authorized to enter the Monument to support separately permitted activities throughout the marine areas of Papahānaumokuākea and on land at Midway Atoll Special Management Area (MASMA), from January 1 - December 31, 2016. Commanding Officer Elizabeth Kretovic, NOAA, would oversee all activities including operating small boats; swimming, snorkeling, and SCUBA diving as necessary to support proposed activities and separately permitted activities; discharges incidental to vessel use (i.e., dishwasher, shower, laundry, bath and washbasin drains; deckwash incidental to small boat tenders; discharge from approved marine sanitation device effluent, cooling water, and vessel engine exhaust) outside of all Special Preservation Areas and the Midway Atoll Special Management Area; seawater intake as necessary to support vessel operations and separately permitted activities (e.g., ship stabilization and cooling; deck, gear and small boat washdown; desalinization for consumption; support for scientific purposes in the lab spaces and on deck); sustenance fishing (within Midway Atoll Special Management Area only); anchoring on sandy substrate only, and opportunistically collecting free-floating marine-debris. Swimming, snorkeling and SCUBA are not permissible except as necessary for vessel maintenance, emergency operations, and non-recreational support of vessel activities. Personal safety, fitness and health maintenance including, but not limited to, biking, swimming, and jogging would occur only at MASMA and only in approved areas open to the public.

The activity would benefit the Monument as the HI'IALAKAI would provide support services for a wide range of activities. Separately permitted activities may include research, conservation and management, education, special ocean use and Native Hawaiian practices. Without ship support, these activities would be severely limited or cease completely. The activity would help to support the Monument Management Plan (MMP), Priority Management Need 3.6: Achieving Effective Monument Operations (PMNM MMP Vol. I, p. 293, 2008), by providing an integral role in assuring research and other separately permitted activities are properly supported in the field.

All methods and procedures would be performed with the safety and welfare of personnel, the protection of Monument resources, and the accomplishment of mission objectives as top priorities. The HI'IALAKAI would have all required inspections (hull fouling, gear, tender vessel, ballast water, and rat) completed before departure to the Monument. The HI'IALAKAI also has a type-approved Vessel Monitoring System (VMS) on board, which meets Monument requirements, and would abide by Monument entry and exit notification requirements.

The support provided by the HI'IALAKAI would not diminish Monument resources, qualities, or ecological integrity and is fully compatible with the purposes of the Proclamation including preserving and furthering understanding of Monument resources and qualities. The HI'IALAKAI plays an integral role in assuring that research and conservation and management activities, which are critical management elements for the Monument, are properly supported in the field.

The activities proposed by the applicant directly support the Monument Management Plan's priority management need 3.6 – Achieving Effective Monument Operations through action plan 3.6.3 - Coordinated Field Operations. As the HI'IALAKAI would serve as a platform for a variety of other permitted activities, this permit would also support priority management needs 3.1 – Understanding and Interpreting the NWHI; 3.2 – Conserving Wildlife and Habitats; and 3.3 – Reducing Threats to Monument Resources.

The activities described above may require the following regulated activities to occur in State waters: Anchoring a vessel Discharging or depositing any material or matter into the Monument Possessing fishing gear except when stowed and not available for immediate use during passage without interruption through the Monument Sustenance fishing (Federal waters only outside of Special Preservation Areas, Ecological Reserves and Special Management Areas) Swimming, snorkeling, or closed or open circuit SCUBA diving within any Special Preservation Area or Midway Atoll Special Management Area
REVIEW PROCESS: The permit application was sent out for review and comment to the following scientific and cultural entities: Hawai'i Division of Aquatic Resources, Papahānaumokuākea Marine National Monument (NOAA/NOS), NOAA Pacific Islands Regional Office (NOAA-PIRO), United States Fish and Wildlife Service Pacific Islands National Wildlife Refuge Complex Office, and the Office of Hawaiian Affairs (OHA). In addition, the permit application has been posted on the Monument Web site since October 12, 2016 giving the public an opportunity to comment. The application was posted within 40 days of its receipt, in accordance with the Monument's Public Notification Policy.
Comments received from the scientific community are summarized as follows:
Neither questions nor comments were received from the scientific community on this application.
Comments received from the Native Hawaiian community are summarized as follows:
Cultural reviews support the acceptance of this application. No concerns were raised.
Comments received from the public are summarized as follows:
No comments were received from the public on this application.
Additional reviews and permit history:
Are there other relevant/necessary permits or environmental reviews that have or will be issued with regard to this project? (e.g. MMPA, ESA, EA) Yes No If so, please list or explain:

- The proposed activities are in compliance with the National Environmental Policy Act.
- Research scientists, educators, and managers working aboard NOAA Ship HI'IALAKAI will apply for and be issued separate permits pertaining to their individual activities.
- The Department has made an exemption determination for this permit in accordance chapter 343, HRS, and Chapter 11-200, HAR. See Attachment ("DECLARATION OF EXEMPTION FROM THE PREPARATION OF AN ENVIRONMENTAL ASSESSMENT UNDER THE AUTHORITY OF CHAPTER 343, HRS AND CHAPTER 11-200 HAR. PAPAHĀNAUMOKUĀKEA MARINE NATIONAL MONUMENT **CONSERVATION** MANAGEMENT PERMIT TO PROSPECTIVE COMMANDING OFFICER ELIZABETH I. KRETOVIC AND COMMANDING OFFICER DANIEL M. SIMON, NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA) SHIP HI'IALAKAI, FOR ACCESS TO STATE WATERS TO CONDUCT SHIPBOARD SUPPORT ACTIVITIES UNDER PERMIT PMNM-2017-002.")
- A request to the National Marine Fisheries Service (NMFS) for Section 7 informal consultation coverage pursuant to the Endangered Species Act of 1973 was initiated via email on September 30, 2015 to have the proposed activities (i.e., conducting vessel activities within the Monument) considered under PMNM's programmatic Section 7 informal consultation (Letter of concurrence dated 13 April 2015). On October 1, 2015, NMFS PIRO sent an e-mail to PMNM that concurred with the PMNM's assessment that issuing a permit that covers the proposed activity is within the scope of the PMNM programmatic consultation between NMFS and the PMNM.

Has Applicant been g If so, please summari	granted a permit from the State in the past? ze past permits:	Yes	\boxtimes	No	
 The NOAA previous Consince 2006. 	Ship HI'IALAKAI has been granted Commanding Officers Monument and/or DL	ommai NR pe	nding rmits f	Officer for sim	Simon and ilar activities
Have there been any	a) violations:b) Late/incomplete post-activity reports:	Yes Yes		No No	
Are there any other re	elevant concerns from previous permits?	Yes		No	\boxtimes
STAFE OPINION					

STAFF OPINION

PMNM staff is of the opinion that Applicant has properly demonstrated valid justifications for his application and should be allowed to enter the NWHI State waters and to conduct the activities therein as specified in the application with certain special instructions and conditions, which are in addition to the Papahānaumokuākea Marine National Monument Conservation and Management Permit General Conditions. All suggested special conditions have been vetted through the legal counsel of the Co-Trustee agencies (see Recommendation section).

MONUMENT MANAGEMENT BOARD OPINION

The MMB is of the opinion that the Applicant has met the findings of Presidential Proclamation 8031 and this activity may be conducted subject to completion of all compliance requirements. The MMB concurs with the special conditions recommended by PMNM staff.

RECOMMENDATION

That the Board authorize and approve a Conservation and Management Permit to Commanding Officer Elizabeth I. Kretovic, NOAA Ship HI'IALAKAI, with the following special conditions:

- 1. That the permittee provide, to the best extant possible, a summary of their Monument access, including but not limited to, any initial findings to the DLNR for use at educational institutions and outreach events.
- 2. This permit is not to be used for nor does it authorize the sale of collected organisms. Under this permit, the authorized activities must be for noncommercial purposes not involving the use or sale of any organism, by-products, or materials collected within the Monument for obtaining patent or intellectual property rights.
- 3. The permittee may not convey, transfer, or distribute, in any fashion (including, but not limited to, selling, trading, giving, or loaning) any coral, live rock, or organism collected under this permit without the express written permission of the Co-Trustees.
- 4. To prevent introduction of disease or the unintended transport of live organisms, the permittee must comply with the disease and transport protocol attached to this permit.
- 5. Tenders and small vessels must be equipped with engines that meet EPA emissions requirements.
- 6. Refueling of tenders and all small vessels must be done at the support ships and outside the confines of lagoons or near-shore waters in the State Marine Refuge.
- 7. No fishing is allowed in State Waters except as authorized under State law for subsistence, traditional and customary practices by Native Hawaiians.

Respectfully submitted,

Maria Carnevale

Papahānaumokuākea Marine National Monument

APPROVED FOR SUBMITTAL

Suzanne Case Chairperson Papahānaumokuākea Marine National Monument Permit Application – Conservation and Management OMB Control # 0648-0548 Page 1 of 13

Papahānaumokuākea Marine National Monument CONSERVATION AND MANAGEMENT Permit Application

NOTE: This Permit Application (and associated Instructions) are to propose activities to be conducted in the Papahānaumokuākea Marine National Monument. The Co-Trustees are required to determine that issuing the requested permit is compatible with the findings of Presidential Proclamation 8031. Within this Application, provide all information that you believe will assist the Co-Trustees in determining how your proposed activities are compatible with the conservation and management of the natural, historic, and cultural resources of the Papahānaumokuākea Marine National Monument (Monument).

ADDITIONAL IMPORTANT INFORMATION:

- Any or all of the information within this application may be posted to the Monument website informing the public on projects proposed to occur in the Monument.
- In addition to the permit application, the Applicant must either download the Monument Compliance Information Sheet from the Monument website OR request a hard copy from the Monument Permit Coordinator (contact information below). The Monument Compliance Information Sheet must be submitted to the Monument Permit Coordinator after initial application consultation.
- Issuance of a Monument permit is dependent upon the completion and review of the application and Compliance Information Sheet.

INCOMPLETE APPLICATIONS WILL NOT BE CONSIDERED

Send Permit Applications to:
NOAA/Inouye Regional Center
NOS/ONMS/PMNM/Attn: Permit Coordinator
1845 Wasp Blvd, Building 176
Honolulu, HI 96818
nwhipermit@noaa.gov

PHONE: (808) 725-5800 FAX: (808) 455-3093

<u>SUBMITTAL VIA ELECTRONIC MAIL IS PREFERRED BUT NOT REQUIRED. FOR ADDITIONAL SUBMITTAL INSTRUCTIONS, SEE THE LAST PAGE.</u>

Papahānaumokuākea Marine National Monument Permit Application – Conservation and Management OMB Control # 0648-0548 Page 2 of 13

Papahānaumokuākea Marine National Monument Permit Application Cover Sheet

This Permit Application Cover Sheet is intended to provide summary information and status to the public on permit applications for activities proposed to be conducted in the Papahānaumokuākea Marine National Monument. While a permit application has been received, it has not been fully reviewed nor approved by the Monument Management Board to date. The Monument permit process also ensures that all environmental reviews are conducted prior to the issuance of a Monument permit.

Summary Information

Applicant Name:

Commander Elizabeth I. Kretovic, NOAA

Affiliation:

U.S. Department of Commerce

National Oceanic and Atmospheric Administration (NOAA) Office of Marine and Aviation

Operations

NOAA Ship Hi`ialakai

Permit Category: Conservation and Management

Proposed Activity Dates: January 1, 2017 - December 31, 2017 Proposed Method of Entry (Vessel/Plane): NOAA Ship Hi`ialakai

Proposed Locations: All Areas

Estimated number of individuals (including Applicant) to be covered under this permit:

Estimated number of days in the Monument: 150

Description of proposed activities: (complete these sentences):

- a.) The proposed activity would... allow NOAA Ship Hi`ialakai to safely support scientific activities approved through the permit process. Hi`ialakai provides the platform for small boat, diving, mapping, cultural, and other activities approved by the Monument Co-Trustees and the NOAA fleet allocation process. Without ship support, these activities would not be possible.
- b.) To accomplish this activity we would operate the ship in accordance with applicable Monument, Federal, and State regulations. The ship will support approved activities while respecting the cultural signifigance of the area and making as minimal an impact on the environment as possible.
 - c.) This activity would help the Monument by ...

Papahānaumokuākea Marine National Monument Permit Application – Conservation and Management OMB Control # 0648-0548 Page 3 of 13

supporting permited science and cultural activities with respect to environmental stewardship. The activities support the understanding and protection of Monument resources and education and outreach to the public.

Other information or background:

Papahānaumokuākea Marine National Monument Permit Application – Conservation and Management OMB Control # 0648-0548 Page 4 of 13

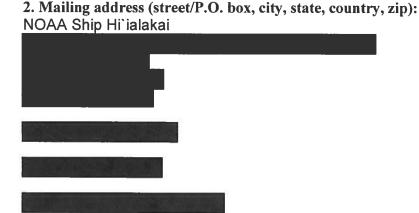
Section A - Applicant Information

1. Applicant

Name (last, first, middle initial): CDR Elizabeth I. Kretovic, NOAA

Title: Commanding Officer, NOAA Ship Hi`ialakai

1a. Intended field Principal Investigator (See instructions for more information): CDR Elizabeth I. Kretovic, NOAA



For students, major professor's name, telephone and email address: n/a

3. Affiliation (institution/agency/organization directly related to the proposed project): U.S. Department of Commerce

National Oceanic and Atmospheric Administration Office of Marine and Aviation Operations

4. Additional persons to be covered by permit. List all personnel roles and names (if known at time of application) here (e.g. John Doe, Research Diver; Jane Doe, Field Technician):

Officers and crew of NOAA Ship Hi`ialakai (positions below; names will be provided in the Monumnet Compliance Information Sheet (CIS) and updated throughout the permit period prior to each project):

Commanding Officer

Papahānaumokuākea Marine National Monument Permit Application – Conservation and Management OMB Control # 0648-0548 Page 5 of 13

Executive Officer Operations Officer Navigation Officer Junior Officer Junior Officer

Chief Boatswain
Boatswain Group Leader
Seaman Surveyor
Seaman Surveyor
Able Seaman
Able Seaman
Able Seaman
Able Seaman
General Vessel Assistant

Chief Engineer
First Engineer
Third Engineer
Junior Engineer
Engine Utility
General Vessel Assistant

Chief Steward Chief Cook Second Cook General Vessel Assistant

Medical Officer

Electronics Technician

Survey Technician

Papahānaumokuākea Marine National Monument Permit Application – Conservation and Management OMB Control # 0648-0548 Page 6 of 13

Section B: Project Information

5a. Project location(s):		Ocean Based	
Nihoa Island	Land-based	Shallow water	Deep water
Necker Island (Mokumanamana)	Land-based	Shallow water	Deep water
	Land-based	Shallow water	Deep water
☐ Gardner Pinnacles	Land-based	Shallow water	Deep water
Maro Reef			_ ·
∠ Laysan Island	☐ Land-based	Shallow water	Deep water
🔀 Lisianski Island, Neva Shoal	Land-based	Shallow water	Deep water
Pearl and Hermes Atoll	Land-based	Shallow water	Deep water
Midway Atoll	Land-based	Shallow water	Deep water
⊠ Kure Atoll	Land-based	Shallow water	Deep water
Other			
Remaining ashore on any island of Field Camp staff on other islands/ato	lls) between sunset an	d sunrise.	
NOTE: There is a fee schedule for pervessel and aircraft.	copie visiting Midway	Aton National Wildin	e Refuge via
Location Description: Ship will operate throughout the Nother Monument permits.	Nonument in support	of activities approve	ed in various
5b. Check all applicable regulated Removing, moving, taking, harve living or nonliving Monument resour Drilling into, dredging, or otherw	sting, possessing, injurce ise altering the submer	ring, disturbing, or dar	naging any by anchoring a
vessel; or constructing, placing, or ab	andoning any structur	e, material, or other m	atter on the
submerged lands			
Anchoring a vessel	1.40		
Deserting a vessel aground, at and		- M	
Discharging or depositing any ma	iterial or matter into th	e Monument	
Touching coral, living or dead		-11-1-1- C1	1 .
Possessing fishing gear except wh	the Manuscrat	illable for immediate u	ise during
passage without interruption through Attracting any living Monument r			
Sustenance fishing (Federal water		aial Duagamietian Auga	- Faalasiasi
Reserves and Special Management A		sial Fleservation Areas	s, Ecological
Subsistence fishing (State waters			
Swimming, snorkeling, or closed		A diving within any S.	aecial
Preservation Area or Midway Atoll S	pecial Management A	rea	ociai

Papahānaumokuākea Marine National Monument Permit Application – Conservation and Management OMB Control # 0648-0548 Page 7 of 13

6. Purpose/Need/Scope State purpose of proposed activities:

The purpose of the ship's activities is to provide an at-sea research platform to support diver, small boat, multibeam mapping, and education activities in support of approved Monument permits. All operations are for activities permitted by the co-trustees of the Monument as being compatible with the conservation and management goals of the Monument, NOAA, USFWS, and the State of Hawaii. Activities will be respectful of the natural, historical and cultural resources of the Monument.

Hi`ialakai is dedicated to studying and protecting the Papahanaumokuakea Marine National Monument, and to carrying out NOAA's mission of understanding the Earth's environment and managing marine resources to meet our nation's economic, social and environmental needs.

*Considering the purpose of the proposed activities, do you intend to film / photograph federall protected species? Yes No
For a list of terrestrial species protected under the Endangered Species Act visit:
http://www.fws.gov/endangered/
For a list of marine species protected under the Endangered Species Act visit:
http://www.nmfs.noaa.gov/pr/species/esa/
For information about species protected under the Marine Mammal Protection Act visit:
http://www.nmfs.noaa.gov/pr/laws/mmpa/

7. Answer the Findings below by providing information that you believe will assist the Co-Trustees in determining how your proposed activities are compatible with the conservation and management of the natural, historic, and cultural resources of the Monument:

The Findings are as follows:

a. How can the activity be conducted with adequate safeguards for the cultural, natural and historic resources and ecological integrity of the Monument?

The activity will be conducted with adequate safeguards for the resources and ecological integrity of the Monument. The NOAA Ship Hi`ialakai and all respective tender vessels will anchor on sandy substrate only. All sustenance fishing activities conducted aboard the Hi`ialakai will be within Midway Atoll Special Management Area. Hi`ialakai will follow guidelines set forth by USFWS Refuge.

Hi`ialakai has a Type II Marine Sanitation Device capable of treating black water and a holding tank capable of holding sewage and grey-water. The Hi`ialakai has a waste management plan which meets or exceeds the International Convention for the Prevention of Pollution from Ships (MARPOL 73/78) and U.S. Coast Guard regulations and Monument regulations.

Papahānaumokuākea Marine National Monument Permit Application – Conservation and Management OMB Control # 0648-0548 Page 8 of 13

Hi`ialakai also has a working Vessel Monitoring System installed capable of tracking all movement within the Monument, per the requirements of Proclamation 8031, signed by the President on June 15, 2006. NOAA Ship Hi`ialakai will maintain up to date inspections: rat free inspection, hull and tender inspection and cleaning, and ballast water inspection.

Each year Hi`ialakai invites a member of the Monument staff to brief the crew on the historical and cultural significance of the Monument. The crew also attend a Cultural Briefing hosted by the PMNM. The ship understands Native Hawaiian cultural concerns that natural resources are considered cultural resources and any activity will be conducted with adequate safeguards as described above.

Additionally, DVDs of the cultural lecture and briefing materials of the natural and cultural resources of the Monument are available to crew and scientific personnel at any time.

- b. How will the activity be conducted in a manner compatible with the management direction of this proclamation, considering the extent to which the conduct of the activity may diminish or enhance Monument cultural, natural and historic resources, qualities, and ecological integrity, any indirect, secondary, or cumulative effects of the activity, and the duration of such effects? Hi`ialakai is the support vessel to conduct activities authorized in other permits. All support activities will be conducted in accordance with regulations and in a manner to reduce any impact to the environment and with respect to cultural resources.
- c. Is there a practicable alternative to conducting the activity within the Monument? If not, explain why your activities must be conducted in the Monument.

 Hi`ialakai is one of the most capable dive research vessels in the world. The ship has a record of safe mission support, embracing environmental stewardship, and respecting cultural resources of the Monument. Because of this, the ship is the support vessel of choice to conduct activities authorized in other permits.
- d. How does the end value of the activity outweigh its adverse impacts on Monument cultural, natural and historic resources, qualities, and ecological integrity?

 Hi`ialakai is the support vessel to conduct activities authorized in other permits as beneficial to the management goals of the Monument. The activities cannot occur without a support vessel.
- e. Explain how the duration of the activity is no longer than necessary to achieve its stated purpose.
- Hi`ialakai will spend no additional time in the Monument than necessary to support operations authorized in individual permits for each project.
- f. Provide information demonstrating that you are qualified to conduct and complete the activity and mitigate any potential impacts resulting from its conduct.

Papahānaumokuākea Marine National Monument Permit Application – Conservation and Management OMB Control # 0648-0548 Page 9 of 13

NOAA Commanding Officers are authorized to operate NOAA Vessels in accordance with annual sailing orders and the fleet allocation plan. NOAA ships are public vessels of the United States and conform with regulations for personnel qualifications and operations. The NOAA Ship Hi`ialakai spends approximately one hundred to one hundred and fifty days a year in the Papahānaumokuākea Marine National Monument. The applicant is well qualified to conduct and complete the activity and mitigate any potential impacts resulting from the activity.

- g. Provide information demonstrating that you have adequate financial resources available to conduct and complete the activity and mitigate any potential impacts resulting from its conduct. Hi`ialakai is a public vessel operating in the Monument to support activities approved by the Monument co-trustees. As such, the ship is part of the Monument management team and the U.S. Government.
- h. Explain how your methods and procedures are appropriate to achieve the proposed activity's goals in relation to their impacts to Monument cultural, natural and historic resources, qualities, and ecological integrity.

All activities will be conducted to support work permited in individual research permits for each cruise and with respect to environmental stewardship and the cultural heritage of the Monument. Applicable Monument, federal, and state regulations will be followed.

i. Has your vessel been outfitted with a mobile transceiver unit approved by OLE and complies with the requirements of Presidential Proclamation 8031?

NOAA Ship Hi`ialakai is equipped with a Monument type-approved NOAA OLE Vessel Monitoring System (Specifications below).

Sailor TT 3606 XP - Thrane & Thrane VMS Email

j. Demonstrate that there are no other factors that would make the issuance of a permit for the activity inappropriate.

There are no other factors that would make the issuance of a permit for the activity inappropriate.

Hi`ialakai has supported research and cultural activities within the Papahanaumokuakea Marine National Monument for many years. The ship and crew have a strong record of safe operations, mission accomplishment, and respect for the environmental and cultural resources of the Monument.

8. Procedures/Methods:

The ship will transit to the Monument from Pearl Harbor, operate within the Monument with various research and education operations, including small boat operations, diving, snorkeling, multibeam sonar mapping, oceanographic data collection, and supporting authorized USFWS or State of Hawaii land-based operations. See scientific

Papahānaumokuākea Marine National Monument Permit Application – Conservation and Management OMB Control # 0648-0548 Page 10 of 13

activities permits. Multibeam data collection to be covered under individual scientific permits, the Hi'ialakai does not plan to conduct independent multibeam operations.

Crew activities will include small boat operations, SCUBA, snorkeling, and swimming necessary for vessel maintenance, emergency operations, proficiency, and non-recreational support of vessel and research activities.

Conducting personnel safety, fitness, and health maintenance including but not limited to biking, swimming, and jogging at Midway Atoll Special Management Area, only in approved areas open to the public.

The ship will monitor other vessel traffic in the vicinity of operations and report movement to the NOAA Office of Law Enforcement.

The ship will typically perform small boat operations during the day and mapping or oceanography at night.

Monument personnel will typically be on board and in charge of the scientific complement.

All methods and procedures will be performed with the safety and welfare of personnel, the protection of Monument natural and cultural resources, and the accomplishment of mission objectives as top priorities.

Ship's personnel will abide by Papahānaumokuākea Marine National Monument Best Management Practices: "Marine Wildlife Viewing Guidelines", the "Disease and Introduced Species Prevention Protocol for Permitted Activities in the Marine Environment Papahānaumokuākea Marine National Monument" for in water activities, and the "Best Practices for Minimizing Impact of Artificial Light on Sea Turtles".

NOAA Ship Hi`ialakai, like any other vessel or house-hold, inevitably creates waste. The ship carries up to 50 scientists and crew for up to 35-day missions. The waste generated is relatively small compared to a cruise ship or other larger vessels, but the issue is taken very seriously. The ship has waste management systems and plans, follows or exceeds MARPOL and USCG regulations in all waters, and has a goal to exceed Monument regulations when operating inside the Monument.

Inside the Special Preservation Areas (SPAs) the ship retains everything that flows down a drain. Outside the SPA, the ship legally discharges food scraps, which are not sanitary to store on board, treated sewage (type II MSD effluent), and diluted water from sink and shower drains (grey water) in accordance with regulations and authorizations in the ship's permit. Water saving equipment has been installed to reduce the amount of drain water generated each day, substantially reducing the amount of waste water requiring treatment each day.

Papahānaumokuākea Marine National Monument Permit Application – Conservation and Management OMB Control # 0648-0548 Page 11 of 13

Discharges such as engine cooling water, deck run-off, and engine exhaust are inevitable to vessel use and are allowed in all areas under the Monument regulations.

The crew of Hi`ialakai is understanding and respectful of the natural and cultural importance of the Monument, and will strive to use best practices to minimize all discharges of any type within the Monument.

NOTE: If land or marine archeological activities are involved, contact the Monument Permit Coordinator at the address on the general application form before proceeding.

9a. Collection of specimens - collecting activities (would apply to any activity): organisms or objects (List of species, if applicable, attach additional sheets if necessary):
Common name: Not applicable - collection of specimens is covered under individual scientific permits.
Scientific name:
& size of specimens:
Collection location:
☐ Whole Organism ☐ Partial Organism
9b. What will be done with the specimens after the project has ended? Not applicable - covered under individual scientific permits.
9c. Will the organisms be kept alive after collection? Yes No Not applicable - covered under individual scientific permits.
• General site/location for collections:
• Is it an open or closed system? Open Closed
• Is there an outfall? Yes No
• Will these organisms be housed with other organisms? If so, what are the other organisms?

Papahānaumokuākea Marine National Monument Permit Application – Conservation and Management OMB Control # 0648-0548 Page 12 of 13

• Will organisms be released?

10. If applicable, how will the collected samples or specimens be transported out of the Monument?

Not applicable - covered under individual scientific permits.

11. Describe collaborative activities to share samples, reduce duplicative sampling, or duplicative research:

Not applicable - covered under individual scientific permits.

12. List all specialized gear and materials to be used in this activity:

Small boats, multibeam sonar, AUV, ROV, CTD rosette.

All other specialed gear and materials will be specified in individual scientific permits. See scientific permitted activities for AUV and ROV operations. Ship system specifications:

Multibeam: EM3002 & EM302 Kongsberg Simrad

See scientific permitted activities for CTD operations.CTD: program-specific rosette configurations to be determined in scientific permitted activities, but may include: SeaBird Electronics (SBE) SBE9Plus/V11-2 redundant SBE3 and SBE4 temp/cond, SBE32 12 bottle carousel.

13. List all Hazardous Materials you propose to take to and use within the Monument: The Hi'ialakai carries small quantities of hazardous materials for essential ship operations. A complete hazarous material inventory is maintained aboard the ship and varies through-out the permit period. All crew are trained in MSDS and proper handling of hazardous materials.

Hazardous materials may be specific and filed under individual scientific permits.

The only Hazardous Materials held in significant quantity are fuel and lubricants such as gasoline, #2 Diesel Fuel, lubrication oil, and hydraulic oil (amounts vary by mission).

14. Describe any fixed installations and instrumentation proposed to be set in the Monument:

Not applicable - covered under individual scientific permits.

15. Provide a time line for sample analysis, data analysis, write-up and publication of information:

Not applicable - covered under individual scientific permits.

Papahānaumokuākea Marine National Monument Permit Application - Conservation and Management OMB Control # 0648-0548 Page 13 of 13

16. List all Applicant's publications directly related to the proposed project: Not applicable - covered under individual scientific permits.

With knowledge of the penalties for false or incomplete statements, as provided by 18 U.S.C. 1001, and for perjury, as provided by 18 U.S.C. 1621, I hereby certify to the best of my abilities under penalty of perjury of that the information I have provided on this application form is true and correct. I agree that the Co-Trustees may post this application in its entirety on the Internet. I understand that the Co-Trustees will consider deleting all information that I have identified as "confidential" prior to posting the application.

Signature	Date	

SEND ONE SIGNED APPLICATION VIA MAIL TO THE MONUMENT OFFICE **BELOW:**

NOAA/Inouye Regional Center NOS/ONMS/PMNM/Attn: Permit Coordinator 1845 Wasp Blvd, Building 176 Honolulu, HI 96818

FAX: (808) 455-3093

DID YOU INCLUDE THESE?

\boxtimes	Applicant CV/Resume/Biography
\boxtimes	Intended field Principal Investigator CV/Resume/Biography
\boxtimes	Electronic and Hard Copy of Application with Signature
	Statement of information you wish to be kept confidential
	Material Safety Data Sheets for Hazardous Materials

Papahānaumokuākea Marine National Monument Compliance Information Sheet

1. Updated list of personnel to be covered by permit. List all personnel names and their roles here (e.g. John Doe, Diver; Jane Doe, Field Technician, Jerry Doe, Medical Assistant):

See attached crew list.

Scientific personnel will be identified in specific Project Instructions.

2. Specific Site Location(s): (Attach copies of specific collection locations):

Multiple sites to be dictated by scientific projects that the ship is supporting. Specific sites will be determined by the scientific party and will be covered in their permit applications.

- 3. Other permits (list and attach documentation of all other related Federal or State permits): N/A
- 3a. For each of the permits listed, identify any permit violations or any permit that was suspended, amended, modified or revoked for cause. Explain the circumstances surrounding the violation or permit suspension, amendment, modification or revocation. N/A
- 4. Funding sources (Attach copies of your budget, specific to proposed activities under this permit and include funding sources. See instructions for more information):

All funding comes from an annual budget of the United States Federal Government for the National Oceanic and Atmospheric Administration.

5. Time frame:

Dates actively inside the Monument:

FY2017

HA-17-03 Monk Seal Camp Recovery: August 12, 2017 – August 30, 2017

HA-17-04 PMNM RAMP: September 6, 2017 – September 30, 2017

Activity completion: End of Fiscal Year - 9/30/16

Describe any limiting factors in declaring specific dates of the proposed activity at the time of application:

Papahānaumokuākea Marine National Monument Compliance Information Sheet OMB Control # 0648-0548 Page 3 of 5

Last port vessel will have been at prior to this embarkation:

Length:

Gross tonnage:

Total ballast water capacity volume (m3):

Total number of ballast water tanks on ship:

Total fuel capacity:

Total number of fuel tanks on ship:

Marine Sanitation Device:

Type:

Explain in detail how you will comply with the regulations regarding discharge in the Monument. Describe in detail. If applicable, attach schematics of the vessel's discharge and treatment systems:

Hi'ialakai, like any other vessel or house hold, inevitably creates waste. The ship carries up to 50 scientists and crew for 30-day periods. The waste generated is relatively small compared to a cruise ship or other larger vessels, but the issue is taken very seriously. The ship has waste management systems and plans, follows or exceeds MARPOL and USCG regulations in all waters, and has a goal to exceed Monument regulations when operating inside the Monument.

Inside the Special Preservation Areas (SPAs) the ship holds everything that flows down a drain. Outside the SPA, the ship legally discharges food scraps, which are not sanitary to store on board, treated sewage (type II MSD effluent), and diluted water from sink and shower drains (grey water) in accordance with regulations and the ship's permit. Water saving equipment was installed in 2007 to reduce the amount of drain water generated each day, substantially reducing the amount of waste water requiring treatment each day.

Discharges such as engine cooling water, deck runoff, and engine exhaust are inevitable to vessel use and are allowed in all areas under the Monument regulations. The crew of *Hi`ialakai* is understanding and respectful of the natural and cultural importance of the Monument, and will strive to minimize all discharges of any type within the Monument.

Other fuel/hazardous materials to be carried on board and amounts:

The following materials are carried in limited quantities not to exceed the least amount needed to adequately operate and maintain the vessel.

The Hi'ialakai carries small quantities of hazardous materials for essential ship operations. A complete hazardous material inventory is maintained aboard the ship and varies through-out the permit period. All crew are trained in MSDS and proper handling of hazardous materials.

Hazardous materials may be specific and filed under individual science permits.

Papahānaumokuākea Marine National Monument Compliance Information Sheet OMB Control # 0648-0548 Page 5 of 5

Additional Information for Land Based Operations

11. Proposed movement of personnel, gear, materials, and, if applicable, samples: The ship may use tenders to transport personnel, gear, and materials to shore in support of USFWS and State of Hawaii sponsored land based operations.

12. Room and board requirements on island: $\ensuremath{\text{N/A}}$

13. Work space needs: N/A

DID YOU INCLUDE THESE?

Map(s) or GPS point(s) of Project Location(s), if applicable TBD too early for project instructions
☐ Funding Proposal(s) – Not Applicable
☐ Funding and Award Documentation, if already received – Not Applicable
☐ Documentation of Insurance, if already received – Not Applicable
□ Documentation of Inspections TBD
Documentation of all required Federal and State Permits or applications for permits – Not Applicable

NOAA Ship Hi`ialakai – Last updated on 11/21/2016

Officers

Deck

Commanding Officer Executive Officer Operations Officer

Third Mate

Navigation Officer Junior Officer Medical Officer Elizabeth Kretovic, CAPT, NOAA

Tracy Miller, LCDR, NOAA Andrea Proie, LT, NOAA

Scott Riley

Terril Efird, ENS, NOAA Nicole Chappelle, ENS, NOAA Ryan Hawley, LT, USPHS

Engine

Chief Marine Engineer 1st Assistant Engineer 3rd Assistant Engineer

James Johnson Julio Lorenzo Tyler Matta

Crew

Deck

Chief Boatswain Mark O'Connor Bos'n Group Leader Scott Jones Seaman Surveyor Rich Hinostroza Seaman Surveyor Mike Murphy Able Seaman Tim Crumley Able Seaman Brian Kibler Able Seaman Ray Castillo Able Seaman Steve Lovette General Vessel Assistant Jonathan Merrill

Stewards

Chief Steward Chief Cook Second Cook Allen Gary James White Nick Anderson

Engineering

Junior Unlicensed Engineer Junior Unlicensed Engineer General Vessel Assistant James McDade Steve Weber Justin Halle

Electronics

Lead Electronics Technician

Frank Russo

Survey

Survey Technician

Shane Mallory

DAVID Y. IGE GOVERNOR OF HAWAL'I





STATE OF HAWAI'I DEPARTMENT OF LAND AND NATURAL RESOURCES

POST OFFICE BOX 621 HONOLULU, HAWAI'I 96809

SUZANNE D. CASE CHAIRPERSON
BOARD OF LAND AND NATURAL RESOURCES
COMMISSION ON WATER RESOURCE MANAGEMENT

KEKOA KALUHIWA

JEFFREY T. PEARSON

AQUATIC RESOURCES
BOATING AND OCEAN RECRIBATION
BUREAU OF CONVEY ANCES
SIMISSION ON WATER RESOURCE MANAGEMENT
CONSERVATION AND COASTAL LANDS
INSERVATION AND RESOURCES ENFORCEMENT
DESCRIBED TO THE PROPRIEMENT ENGINEERING FORFSTRY AND WILDI BE HISTORIC PRESERVATION KAHOOLAWE ISLAND RESERVE COMMISSION STATE PARKS

January 27, 2017

TO:

Papahānaumokuākea Marine National Monument File

THROUGH: Suzanne Case, Chairperson

FROM:

Papahānaumokuākea Marine National Monument

DECLARATION OF EXEMPTION FROM THE PREPARATION OF AN ENVIRONMENTAL ASSESSMENT UNDER THE AUTHORITY OF CHAPTER 343, HRS AND CHAPTER 11-200 HAR, FOR PAPAHĀNAUMOKUĀKEA MARINE NATIONAL MONUMENT CONSERVATION AND MANAGEMENT PERMIT TO COMMANDING OFFICER Elizabeth I. Kretovic, NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA) SHIP HI'IALAKAI, FOR ACCESS TO STATE WATERS TO CONDUCT CONSERVATION AND MANAGEMENT ACTIVITIES UNDER PERMIT PMNM-2017-002

The following permitted activities are found to be exempted from preparation of an environmental assessment under the authority of Chapter 343, HRS and Chapter 11-200, HAR:

Project Title:

Papahānaumokuākea Marine National Monument Conservation and Management Permit to Commanding Officer Elizabeth I. Kretovic, National Oceanic and Atmospheric Administration (NOAA) Ship HI'IALAKAI, for Access to State Waters to Conduct Conservation and Management Activities

Permit Number: PMNM-2017-002

Project Description:

The conservation and management permit application, as described below, would allow entry and activities to occur in Papahānaumokuākea Marine National Monument (Monument), including the NWHI State waters between January 27, 2017 and December 31, 2017.

The primary purpose of the project is to provide vessel support for separately permitted activities aboard NOAA Ship HI'IALAKAI Up to twenty-eight (28) crew members aboard the HI'IALAKAI would be authorized to enter the Monument to support separately permitted activities throughout the marine areas of the Monument, from January 27 – December 31, 2017. As the Commanding Officer of the HI'IALAKAI, the Applicant would oversee all activities Pacific Islands National Wildlife Refuge Complex Office, and the Office of Hawaiian Affairs (OHA). In addition, the permit application has been posted on the Monument Web site since October 12, 2016, giving the public an opportunity to comment. The application was posted within 40 days of its receipt, in accordance with the Monument's Public Notification Policy.

Exemption Determination:

After reviewing HAR § 11-200-8, including the criteria used to determine significance under HAR § 11-200-12, DLNR has concluded that the activities under this permit would have minimal or no significant effect on the environment and that issuance of the permit is categorically exempt from the requirement to prepare an environmental assessment based on the following analysis:

- 1. All activities associated with this permit, including ship operations, have been evaluated as a single action. As a preliminary matter, multiple or phased actions, such as when a group of actions are part of a larger undertaking, or when an individual project is precedent to or represents a commitment to a larger project, must be grouped together and evaluated as a single action. HAR § 11-200-7. Since this permit involves an activity that represents a commitment to a larger project, i.e. conducting research and management activities, the categorical exemption determination here will treat all planned activities as a single action, to the extent possible.
- 2. The Exemption Class for Scientific Research with no Serious or Major Environmental Disturbance Appears to Apply. Chapter 343, HRS, and § 11-200-8, HAR, provide for a list of classes of actions exempt from environmental assessment requirements. HAR §11-200-8.A.5. exempts the class of actions which involve "basic data collection, research, experimental management, and resource evaluation activities which do not result in a serious or major disturbance to an environmental resource." The proposed activities appear to fall squarely under the Exemption Class #5, Exempt Item #15 as described under the DLNR Exemption List published on June 5, 2015. This exemption class has been interpreted to include, "Game and non-game wildlife surveys, vegetation and rare plant surveys, aquatic life surveys, inventory studies, new transect lines, photographing, recording, sampling, collection, culture, and captive propagation" such as those to be supported by the proposed activities. It has also been interpreted to include various modes of transportation to reach field areas necessary to carry out such surveys. As discussed below, no significant disturbance to any environmental resource is anticipated in the sampling of Monument resources. Thus, so long as the below considerations are met, an exemption class should include the action now contemplated.
- 3. Cumulative Impacts of Actions in the Same Place and Impacts with Respect to the Potentially Particularly Sensitive Environment Will Not be Significant. Even where a categorical exemption appears to include a proposed action, the action cannot be declared exempt if "the cumulative impact of planned successive actions in the same place, over time, is significant, or when an action that is normally insignificant in its impact on the environment may be significant in a particularly sensitive environment." HAR § 11-200-8.B. To gauge whether a significant impact or effect is probable, an exempting agency must consider every phase of a proposed action, any expected primary and secondary consequences, the long-term and short-term effects of the action, the overall and cumulative effect of the action, and the sum effects of an action on the