# State of Hawaii DEPARTMENT OF LAND AND NATURAL RESOURCES Division of Aquatic Resources Honolulu, Hawaii 96813

May 12, 2017

Board of Land and Natural Resources Honolulu, Hawaii

Request for Approval for the DLNR Chairperson to Renew an Agreement And to Delegate to the Chairperson Signature Authority For the Future Exchange of Confidential Fisheries Information with the National Marine Fisheries Service (NMFS) or Other Federal Fisheries Agencies for Fisheries Management Purposes.

The Department of Land and Natural Resources (Department) collects commercial fish catch data as a requirement of its licensing and reporting program, and shares it with the NMFS under the current cooperative agreement executed in 2012 (attached). By statute, the information collected from commercial fishers is confidential and statutes provide for the exchange of this information with the federal government (189-3, HRS) for management purposes.

A new federal legal authority has been added since 2012 and the current agreement needs updating. The NMFS and the Division of Aquatic Resources have been working to update and revise the agreement. This updating effort includes legal review and approval by both NMFS General Counsel and the State Attorney General.

RECOMMENDATION: 1) That the Board approve the DLNR Chairperson to renew an agreement for the exchange of confidential fisheries information with the NMFS for fishery management purposes, and 2) the Board delegate signature authority to the chairperson for this and future fisheries data sharing agreements with federal fisheries agencies.

Respectfully submitted,

Bruce S. Anderson, Ph.D.

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Administrator

APPROVED FOR SUBMITTAL:

SUZANNE D. CASE, Chairperson, BLNR

#### MEMORANDUM OF AGREEMENT BETWEEN THE

# STATE OF HAWAII DEPARTMENT OF LAND AND NATURAL RESOURCES

#### AND THE

#### UNITED STATES DEPARTMENT OF COMMERCE NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION NATIONAL MARINE FISHERIES SERVICE

#### ON THE

## EXCHANGE AND USE OF CONFIDENTIAL FISHERIES INFORMATION

#### I. PURPOSE OF THE AGREEMENT

- A. Accurate and timely fisheries information is vital to scientific research, coastal and marine spatial planning, and marine resource conservation and management programs that must respond to increasingly complex fishery and ocean use problems.
- B. The analysis and dissemination of required fisheries information often demand coordinated action among governmental agencies. Furthermore, efficient administration of research and management programs requires full utilization of information collected by these various governmental agencies. In recognition of these needs, and from a desire to operate efficiently and cost effectively, the State of Hawaii Board of Land and Natural Resources, through its Department of Land and Natural Resources, (DLNR) and the U. S. Department of Commerce National Oceanic and Atmospheric Administration's National Marine Fisheries Service, through its Pacific Islands Regional Office and Pacific Islands Fisheries Science Center, (NMFS) enter into this Memorandum of Agreement (MOA).

## C. Specifically, the purposes of this MOA are as follows:

- 1. To develop and implement confidential fishery information exchange and use procedures to provide timely and accurate information, while safeguarding against unauthorized dissemination and use of confidential data;
- 2. To provide a management mechanism for the coordination of internal State and Federal information analysis and dissemination;
- 3. To develop and implement data entry, editing and updating procedures and software;
- 4. To maximize authorized government user access to timely and accurate fisheries information;
- 5. To establish and maintain cooperative fishery statistics reporting services for routine and special reports; and

6. To ensure the confidentiality of acquired confidential information is maintained, and to the extent information is disclosed pursuant to this agreement, such disclosure is done in accordance with applicable laws and regulations.

#### II. AUTHORITIES

Certain statutes and regulations establish the authority for the DLNR and NMFS to collect confidential fisheries information. Other statutes and regulations require that the information collecting agency maintain confidentiality of personal or proprietary business or trade secret information. These statutes and regulations include, but are not limited to:

#### A. State of Hawaii

- 1. Constitution of the State of Hawaii, Article XI, sections 1, 6, and 11
- 2. Section 189-2, Hawaii Revised Statutes (HRS), Commercial marine license
- 3. Section 189-3, HRS, Monthly catch report
- 4. Section 189-3.5, HRS, Catch report, shared jurisdiction of fisheries
- 5. Section 189-10, HRS, Commercial marine dealers
- 6. Section 189-11, HRS, Receipts in duplicate
- 7. Section 189-14, HRS, Rights of entry, penalties
- 8. Section 13-74-2, Hawaii Administrative Rules (HAR), Permits
- 9. Section 13-74-20, HAR, General license and permit conditions
- 10. Section 13-74-42, HAR, Special marine animal or product possession and sale license
- 11. Section 13-74-43, HAR, Aquaculture license
- 12. Section 13-74-44, HAR, License to sell reared species
- 13. Section 13-94-8, HAR, Bottomfish restricted fishing areas
- 14. Chapter 92F, HRS, Uniform Information Practices Act (as amended), and implementing regulations.

#### B. Federal Government

- 1. Agricultural Marketing Act of 1946, 7 U.S.C. 1621-1627
- 2. Agricultural Trade Development and Assistance Act of 1954, 7 U.S.C. 1704
- 3. Anadromous Fish Conservation Act, 16 U.S.C. 757-757f
- 4. Eastern Pacific Tuna Licensing Act of 1984, 16 U.S.C. 972-972h
- 5. Endangered Species Act, 16 U.S.C. 1531-1543
- 6. Farrington Act of 1947, 16 U.S.C. 758-758d
- 7. Fish and Wildlife Act of 1956, 16 U.S.C. 742(a) et seq.
- 8. Fish and Wildlife Coordination Act of 1934, 16 U.S.C. 661-666c
- 9. Fishery Market News Service Act of 1937; 50 Stat. 296
- 10. Fur Seal Act, 16 U.S.C. 1151-1175
- 11. Interjurisdictional Fisheries Act of 1986, 16 U.S.C. 4101 et seq.
- 12. Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. 1801 et seq. and regulations, 50 CFR 600
- 13. Marine Mammal Protection Act, 16 U.S.C. 1361 et seq.

- 14. Marine Migratory Gamefish Act of 1959, 16 U.S.C. 760e;
- 15. South Pacific Tuna Act of 1988, 16 U.S.C. 973-973n
- 16. Tuna Conventions Act of 1950, 16 U.S.C. 951-961
- 17. Privacy Act of 1974, 5 U.S.C. 552a
- 18. Freedom of Information Act, 5 U.S.C. 552
- 19. Trade Secrets Law, 18 U.S.C. 1905

#### III. DEFINITIONS

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As used in this agreement, unless the context indicates otherwise:

"Confidential" means information that is defined as confidential or sensitive personally identifiable information by law, regulation, or official written policy.

"Federal fisheries information" means confidential data collected, stored, used or disclosed under the Federal authorities listed in section II.B. above.

"Marine life" means any type or species of saltwater fish, shellfish, mollusks, crustaceans, coral, or other marine organisms, including any part, product, egg, or offspring thereof; or seaweeds or other marine plants, including any part, product, seed, or root thereof.

"State fisheries information" means confidential data collected, stored, used or disclosed under the State authorities listed in section II.A. above.

#### IV. RESPONSIBILITIES OF THE PARTIES

The DLNR and NMFS agree to maintain the integrity and security of their respective information systems for collecting confidential fisheries information, and assuring confidentiality to the providers of the information as authorized and necessary. It is expressly agreed that the parties have the authority and the responsibility to maintain the confidentiality of exchanged confidential information and will maintain such confidentiality from disclosure to unauthorized parties. The DLNR must have authority to protect the information from disclosure in a manner at least as protective as in the regulations implementing the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. § 1801, et seq. and 50 CFR Part 600). Consistent with applicable law, DLNR and NMFS agree to exchange fishermen contact information such as names, mailing and email addresses, and telephone numbers, for the purposes of contacting Federal and/or State permitted fishermen to provide fisheries-related information and/or to facilitate State or Federal agency program inquiries (e.g., surveys) needed to assist DLNR and NMFS accomplish their respective goals and missions.

The DLNR and NMFS will assure through appropriate policies and procedures that unauthorized access, viewing, and extraction of confidential information will not occur, and will assure that such information will be accessed and used only by authorized users who

understand and comply with requirements and policies to maintain the confidentiality of the information.

The procedures established herein are made to encourage the providers of confidential information to supply accurate and complete information to the DLNR and NMFS. The procedures will also facilitate full cooperative use of available fisheries information between the parties to support better fisheries management, marine life conservation and related scientific research, and coastal and marine spatial planning and management. In recognition of these principles and pursuant to the applicable law, statutes, and regulations, the following responsibilities are agreed to by the parties:

## A. Department of Land and Natural Resources

The DLNR may receive, use, and store Federal fisheries information collected by and transmitted to it from NMFS on a case-by-case basis for fisheries and ocean resource management, scientific research, and conservation of marine life and related scientific research. Any such Federal fisheries information received by DLNR shall be maintained and treated as confidential in accordance with the authorizing statutes and regulations as cited in Section II above. All DLNR personnel who have access to Federal fisheries information shall sign a NMFS non-disclosure statement prior to receipt of NMFS data. The DLNR shall endeavor to improve its fisheries information processing systems and quality of fisheries information to the extent practicable, supplemented by technical assistance and funds provided by NMFS.

#### B. NMFS

The NMFS may receive, use, and store State fisheries information collected by and transmitted to it from DLNR on a case-by-case basis for fisheries management, conservation of marine life and related scientific research. Any such State fisheries information shall be maintained and treated as confidential in accordance with the Freedom of Information Act, the Federal Records Act, and other applicable Federal laws. The NMFS shall support the maintenance and improvement of the State's fisheries information system and quality of fisheries information through technical assistance, manpower, and financial support to the extent practicable and consistent with applicable law.

## V. GUIDELINES FOR INFORMATION DISCLOSURE AND PUBLICATION

To the extent permitted by applicable law, each party has the sole right to make decisions regarding disclosure of information collected under its own authority. To the extent permitted by applicable law, neither party shall disclose to unauthorized person(s) information in its possession which was collected under the other party's authority without the other party's written permission. Confidential information supplied by one agency to the other agency may be published by the receiving agency in aggregate or summary form, provided that the form

does not directly or inadvertently disclose the identity or confidential information, whether business or trade secrets, of any person who or entity that submits such information. Published information should appropriately acknowledge the agency source of the information used.

Parties should also take into account whether publication of such information received under this agreement would, if disclosed, frustrate a legitimate governmental function, including the collection of further confidential fisheries information. The aggregate or summary of fisheries information shall comprise no less than three individuals or enterprises, and the aggregate shall apply to all record types including individual catch totals, areas fished, dates fished, etc. This is to ensure that a reported summary cannot be deciphered so as to elucidate or identify an individual effort which is considered to be confidential or proprietary.

#### VI. CHANGE IN THE AUTHORIZING STATUTES

This memorandum of agreement supersedes and replaces the prior "Cooperative Agreement Between the Board of Land and Natural Resources, State of Hawaii, and Southwest Region, Southwest Fisheries Center, National Marine Fisheries Service, National Oceanic and Atmospheric Administration, U.S. Department of Commerce, on the Exchange and Use of Confidential Fisheries Information," signed and effective January 4, 1988.

Any change, amendment, repeal of any authorizing or reauthorizing statute or regulation cited in this agreement; any change in the policies affecting fisheries and vessel registration information collection of any of the parties identified, shall be grounds to reconsider, modify, or cancel this agreement. Each party shall endeavor to monitor for such changes, and to apprise the other party when such changes are implemented.

## VII. DURATION, AMENDMENT AND TERMINATION OF THE AGREEMENT

This agreement will become effective when signed by the parties. The agreement will terminate five years from the date of the last signature, but may be amended at any time by mutual written consent of the parties. Each party may terminate its participation in the agreement, by giving thirty (30) days written advance notice of such termination. Such termination of the agreement shall not require mutual consent.

#### VIII. RESOLUTION OF DISAGREEMENTS

Should disagreement arise on the interpretation of the provisions of this agreement, or amendments and/or revisions thereto, that cannot be resolved at the operating level, the area(s) of disagreement shall be stated in writing by each party and presented to the other party for consideration. If agreement on interpretation is not reached within thirty days, the parties shall forward the written presentation of the disagreement to respective higher officials for appropriate resolution.

these presents to be executed this 24th States Department of Commerce, National 6 Marine Fisheries Service, by its Pacific Isla Fisheries Science Center Director, has cause	waii, by its Board of Land and Natural Resources, has and Natural Resources to be hereunto affixed, and day of May 2012, and the United Oceanic and Atmospheric Administration, National ands Regional Administrator and its Pacific Islands and this Memorandum of Agreement to be executed 2012, to be effective on the date when all signatures
	STATE OF HAWAII
	By Mulfr Chairperson Board of Land and Natural Resources
Approved by the Board of Land and Natural Resources at its meeting held on:	
May 11, 2012	NATIONAL MARINE FISHERIES SERVICE
	By NMFS Regional Administrator
	By Molecular Shilana NMFS Fisheries Science Center Director
APPROVED AS TO FORM:	
Deputy Attorney General	
State of Hawaii	

Dated: 5/24/12